OTC Markets

Compliance Flags

OTC Markets Group has developed a suite of market "designations" and informational "flags" displayed below, to help identify opportunity and quantify risk. These visual data points are made publicly-available for free on the company's quote page and distributed to brokerdealers and compliance departments as part of our Compliance Data feeds.

\$	This security is exempt from the definition of a Penny Stock under SEC Rule 240.3a51-1.
	The Company Profile data was verified by the issuer within the previous 6 months.
U	The company's share data, including authorized, outstanding, restricted and unrestricted shares, displayed on the company's "Security Details" page, has been verified by its transfer agent.
٢	The company is engaged in an emerging industry (Cannabis, Cryptocurrency/Blockchain).
•	The company displays characteristics common to Shell Companies.
	The company has self-reported as a Shell Company, as defined by Securities Act Rule 405 and Exchange Act Rule 12b-2, in its public filings.
	The security is currently undergoing promotional activity.
\$	The company is currently in bankruptcy or reorganization proceedings.
	Multiple parties are engaged in a dispute over control of the company.
\oslash	The company is affiliated or associated with an individual or firm on our "Prohibited Service Providers" list, which includes prohibited attorneys, accountants/auditors and other service providers.
?	OTC Markets Group is unable to verify contact information for the company.
\bigotimes	Company is not current in its reporting obligations under Section 13 or 15(d) of the Exchange Act.
VIELD	The company meets the minimum requirements for public quoting under Rule 15c2-11, but has made limited disclosure and/or financial information publicly available.
	The company is not able to provide disclosure to the public markets.
	Buyer Beware. There is a public interest concern associated with the company, which may include a spam campaign, questionable stock promotion, known investigation of fraudulent activity committed by the company or insiders, regulatory suspensions, or disruptive corporate actions.
$\clubsuit \blacklozenge$	Market serves broker-dealer pricing and investor best execution needs. Quotations in Expert Market securities are restricted from public view.

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